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ATTORNEY'S DOCKET NO. V00077.70221.US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven R. Walther
Serial No: Reissue Application for U.S. Patent No. 6,323,497
Filed: Herewith
For: METHOD AND APPARATUS FOR CONTROLLING ION IMPLANTATION
DURING VACUUM FLUCTUATION
Examiner: Not Yet Assigned
Art Unit: Not Yet Assigned

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The references cited on the attached Form PTO-1449 were cited in a Search Report for the counterpart International Application No. PCT/US01/13008 to U.S. Patent 6,323,497. A copy of the Search Report is attached for the Examiner's information.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

Serial No.: Not Yet Assigned

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Art Unit: Not Yet Assigned

An early and favorable action is hereby requested.

Respectfully submitted,
Steven R. Walther, *Applicant*

By: 

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Docket No. V00077.70221.US

Date: June 24, 2003

XNDDX

FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: Reissue Application for U.S. Patent No. 6,323,497		ATTY. DOCKET NO.: V00077.70221.US (REH)	
				FILING DATE: Herewith		CONFIRMATION NO.: Not Yet Assigned	
				APPLICANT: Steven R. Walther			
				GROUP ART UNIT: Not Yet Assigned		EXAMINER: Not Yet Assigned	
Sheet	1	of	1				

U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		5,319,212		Tokoro	06/07/1994
		5,814,823		Benveniste	09/29/1998
		5,998,798		Halling et al.	12/07/1999
		*4,283,631		Turner	08/1981
		*4,421,988		Robertson et al.	12/1983
		*4,449,051		Berkowitz	05/1984
		*4,504,194		Holden	03/1985
		*4,587,433		Farley	05/1986
		*4,751,393		Corey, Jr. et al.	06/1988
		*4,807,994		Felch et al.	02/1989
		*4,922,106		Berrian et al.	05/1990
		*5,475,618		Le	12/1995
		*5,572,038		Sheng et al.	11/1996
		*5,711,843		Jahns	01/1998
		*5,760,409		Chen et al.	06/1998
		*6,101,971		Denholm	08/2000

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
		EP	0457321	A2		21.11.91	✓
		EP	0964426	A2		15.12.99	✓
		PCT	WO 00/07030	A1		10.02.00	✓

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		International Search Report dated November 20, 2001, International Application No. PCT/US01/13008.	✓

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/586,492, filed 6/2/2000, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]